

# HOUSING AGENCY RETIREMENT TRUST

## ENROLLMENT FORM #110

PLEASE TYPE ALL INFORMATION ON THIS FORM

**IMPORTANT!!** Use this form only to enroll newly-eligible employees. Do not use this form to change data on existing participants.

Once you have met the eligibility provisions as stipulated in the Agency's Joinder Agreement, participation in this Retirement Plan is mandatory, a condition of employment.

### REQUEST FOR MEMBERSHIP - ACCEPTANCE OF PROVISIONS - DESIGNATION OF BENEFICIARY - AUTHORIZATION OF DEDUCTIONS - INVESTMENT ELECTIONS

Plan Number:

5	9	8			
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Agency Name: \_\_\_\_\_

Name: \_\_\_\_\_  
Last First Middle

Mailing Address: \_\_\_\_\_

City: \_\_\_\_\_

State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Social Security Number:

			-			-				
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Sex:  Male  Female

Date of Birth:

		-			-				
Month		Day		Year					

Date Hired:

		-			-				
Month		Day		Year					

Annual Salary: \$ \_\_\_\_\_

Month	Year

I hereby apply for membership in this Plan effective the first day of:

- I have read a summary of the plan and understand the benefits.
- I accept and agree to be bound by the provisions of the Plan and the Trust Agreement, and any amendments made to it.
- If applicable, I hereby authorize my Employer to withhold through periodic payroll deductions my required contributions to the Plan.
- I have read and understand the information provided about the 27 investment funds available.

Check One:  This is the first time I have enrolled in this Plan.

I was a member previously when I worked for \_\_\_\_\_ Authority.

EMPLOYEE NAME

EMPLOYER NAME

Signed by \_\_\_\_\_

SIGNATURE OF EMPLOYEE

\_\_\_\_\_  
SIGNED BY

SIGNATURE OF AUTHORIZED OFFICIAL

Signed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

Signed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

DEPT = RKOPSHART  
TASK = ENROLL

PLEASE COMPLETE PAGES 1, 2 & 3  
Revised November 2018

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# INVESTMENT OF CONTRIBUTIONS

INVESTMENT FUNDS: I want the current contributions invested as follows: (Enter whole percentages – Must add up to 100%)

ALL CONTRIBUTIONS (If you make no election, all contributions will be invested in the Target Retirement Fund)

FUND MANAGER	FUND NAME	TICKER	FUND ID	ELECTION MUST EQUAL 100% ENTER WHOLE %'S ONLY
Invesco	Fixed/Stable Value Fund	N/A	H8	%
Vanguard	Total Bond Market Index Institutional	VBPIX	0J	%
Dodge & Cox	Income Fund	DODIX	0P	%
SSgA	US Inflation Protected Bond NL Series – Class C	N/A	0S	%
Vanguard	Target Retirement Income Fund	VTINX	02	%
Vanguard	Target Retirement 2015 Fund	VTXVX	04	%
Vanguard	Target Retirement 2020 Fund	VTWVX	05	%
Vanguard	Target Retirement 2025 Fund	VTTVX	06	%
Vanguard	Target Retirement 2030 Fund	VTHRFX	07	%
Vanguard	Target Retirement 2035 Fund	VTTHX	0E	%
Vanguard	Target Retirement 2040 Fund	VFORX	08	%
Vanguard	Target Retirement 2045 Fund	VTIVX	09	%
Vanguard	Target Retirement 2050 Fund	VFIFX	0A	%
Vanguard	Target Retirement 2055 Fund	VFFVX	0B	%
Vanguard	Target Retirement 2060 Fund	VTTSX	0C	%
Vanguard	Target Retirement 2065 Fund	VLXVX	NY	%
SSgA	Balanced Fund	N/A	H6	%
PIMCO	All Asset Fund Institutional	PAAIX	0Q	%
SSgA	S&P 500 Index Fund NL Series Fund Class C	N/A	0G	%
JPMorgan	Disciplined Equity Fund R6	JDEUX	0K	%
SSgA	S&P Midcap Index NL Series Fund Class C	N/A	0V	%
Hartford	MidCap Fund HLS IA	HIMCX	0T	%
SSgA	Russell Small Cap Index NL Series Fund Class S	N/A	0U	%
T. Rowe Price	Institutional Small Cap Stock Fund	TRSSX	0L	%
Vanguard	<b>Vanguard Total International Stock Index Fund Institutional</b>	VTSNX	0O	%
American Funds	EuroPacific Growth Fund R6	RERGX	0M	%
DFA	Emerging Markets Portfolio(I)	DFEMX	00	%
American Century	REIT Real Estate Fund R6	AREDX	0N	%
			TOTAL	%

I elect to have my entire account automatically rebalanced: (to match the %'s most recently elected for the investment of my contributions).

(Use keyboard arrows to choose one):  Quarterly  Semi-annually  Annually

I desire to make additional voluntary after-tax contributions. I understand these are entirely voluntary and are over and above any contributions required by this Plan. I may discontinue these contributions at any time. I may select a percentage of pay, or a dollar amount. If I select a percentage, my contribution will change whenever I have a salary change. If I select a dollar amount, it will remain that amount until I submit a change on Form #160. I DESIRE TO MAKE ADDITIONAL VOLUNTARY AFTER-TAX CONTRIBUTIONS OF (CHOOSE ONLY ONE): \_\_\_\_\_% OF MY SALARY ~ OR ~ \$ \_\_\_\_\_ PER MONTH. I understand these additional contributions are subject to the following maximum IRS individual limit: For calendar year 2018, the total of all contributions made to the Plan each year either by me or by my employer on my behalf cannot exceed whichever is smaller: \$55,000 or 100% of my annual salary. (The \$55,000 limit may be increased by the IRS after 2018.)

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\_\_\_\_\_  
EMPLOYEE NAME

SOCIAL SECURITY NUMBER

DEPT = RKOPSHART  
TASK = ENROLL

PLEASE COMPLETE PAGES 1, 2 & 3  
Revised November 2018

Plan Number: 5 9 8

Social Security Number: - -

Employee Name: Last, First, Middle

I. Beneficiary Instructions

This Beneficiary Designation Form is used to designate the recipient of your retirement account balance... Section II. A primary beneficiary and a contingent beneficiary may be designated.

II. Beneficiary Designation - Retirement Plan

Primary Beneficiary and Contingent Beneficiary forms for Retirement Plan, including fields for SSN, Name, Mailing Address, City, State, Zip, and Relationship.

III. Beneficiary Designation - Supplemental Death Benefit / Life Insurance (if applicable)

Primary Beneficiary and Contingent Beneficiary forms for Supplemental Death Benefit / Life Insurance, including fields for SSN, Name, Mailing Address, City, State, Zip, and Relationship.

IV. I certify that this information is correct.

Signed at (City, State) this the day of Month Year

Name of Employer Signature of Agency Authorized Official (Required) Signature of Employee

Agency keep original, employee keep a copy and fax form to: 1-973-712-7489 Housing Agency Retirement Trust, c/o ADP Retirement Services, PO Box 22669, Louisville, KY 40252-0669 PHONE: 1-800-798-2044